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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

EL 595 827 889 US
ATTORNEY'S DOCKET NO.
3815-116

U.S. APPLICATION NO. (if

known see 37 CFR 15034

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED /ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP00/03868 14 June 2000

PRIORITY DATE CLAIMED 15 June 1999

#### TITLE OF INVENTION

Neighboring Base Station Information Update Method, Information Management Method for Cell Search in Mobile Communications System, Cell Search Method of Mobile Station, Mobile Communications System, Mobile Station, Base Station and Control Station

APPLICANT(S) FOR DO/EO/US

ISHIKAWA, Yoshihiro; SAWAHASHI, Mamoru; ONOE, Seizo

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

- 4. A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest priority date.
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. 🛛 has been transmitted by the International Bureau.
  - c. is not required, as the application was filed in the United States Receiving Office.
- 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. have been transmitted by the International Bureau.
  - c. have not been made; however, the time limit for making such amendments has NOT expired.
  - d. \overline{\text{M}} have not been made and will not be made.
- 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11. To 16. Below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98, with cited references.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. A FIRST preliminary amendment (2 pp.).
  - Letter to Draftsperson, with attached proposed correction to Figure 14 (2 pp.).
- 14. A substitute specification.
- 15. A change of power of attorney and/or address letter.
- 16. Other items of information: 1) Cover page, WIPO WO 00/78083
  - 2) PCT Request
  - 3) International Search Report, issued by JPO as Examining Authority
  - 4) Notification of Receipt of Record Copy
  - 5) Notification Concerning Submission or Transmittal of Priority Document
  - 6) Notice Informing the Applicant of the Communication of the International Application to the Designated Offices
- 7 The fellowing food are submitted.
- 17. The following fees are submitted:

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			INTERNATIONAL APPLICATION 1 PCT/JP00/03598			O. ATTORNEY'S DOCKET NO. 3815/116	
BASIC NATIONAL FEE ( 37 CFR 1.492(a) (1)-(5) ):					CALC	ULATIONS	PTO USE ONLY
Search Report has been prepared by the EPO or JPO \$860.00							
International preliminary examination fee paid to USPTO (37 CFR 1.482)							
No international preliminary examination fee paid to USPTO (37 CFR 1.482)  But international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00							
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1000.00							
International preliminary examination fee paid to USPTO (37 CFR 1.482) And all claims satisfied provisions of PCT Article 33(2)-(4) 100.00							
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$860.	00	-
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30  Months from the earliest claims priority date (37 CFR 1.492 (e))							
Claims Number Filed Number Extra Rate							
Total claims	40 - 20 =	20		x \$18.00	\$ 360		
Independent claims	12 - 3 =	9		x \$80.00	\$ 720		
MULTIPLE DEPENDENT CLAIMED (if applicable) + \$270.00					\$ 270		
TOTAL OF ABOVE CACULCATIONS =					\$ 221	0	
Reduction of ½ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28)					\$		
SUBTOTAL =					\$ 221	0	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f))					) <b>3</b>		
TOTAL NATIONAL FEE =					\$2210		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$40		
TOTAL FEES ENCLOSED =					\$2250	)	
	<del>"</del>				Amou	int to be	\$
						Refunded	
						Charged	\$
a. A check in the amount of \$2250.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. <u>02-4270</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4270</u> . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Brown Raysman Millstein Felder & Steiner LLP  120 West 45 <sup>th</sup> Street  New York, New York 10036  (212) 944-1515  Matthew J. Marquardt  Registration No. 40,997  15 February 2001							
· · ·							

Dkt. No. 3815/116

In re application of: Ishikawa, et al.

Int'l Appl'n No.:

PCT/JP00/03868

Int'l Filing Date:

June 14, 2000

# **CERTIFICATION**

- 1. Transmittal Letter to the DO/EO/US
- 2. Application (Verification of Translation)
- 3. Signed Declaration and Power of Attorney
- 4. **Preliminary Amendment**
- 5. Letter to Draftsperson Pursuant to MPEP 608.02(r)
- Information Disclosure Statement w/ 1 EPO, 3 Japan, and 6.
- 7. 1 WIPO reference
- Recordation Form Cover Sheet with Assignment 8.
- Check # 1234 IN Amount \$2250 To could Theme 9. SHO RECOLDATION

I hereby certify that this paper is being deposited this date with the

U.S. Postal Service as Express Mail addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231

Signature

Printed Name:

EXLES WHILL LABEL NO. EL 595 827 869 US

JC03 Rec'd PCT/PT0 763034 JC03 Rec'd PCT/PT0 15 FFB 2001 Application of ISHIKAWA et al. Attorney Docket No. 3815/116 Express Mail Label No. EL 595 827 889 US

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yoshihiro ISHIKAWA et al.

Filed

Herewith

Title

NEIGHBORING BASE STATION INFORMATION UPDATE METHOD,

Information Management Method for Cell Search in Mobile Communications System, Cell Search Method of Mobile Station, Mobile Communications System, Mobile

STATION, BASE STATION AND CONTROL STATION

Assistant Commissioner for Patents Washington, D.C. 20231

# LETTER TO DRAFTSPERSON PURSUANT TO MPEP §608.02(r)

Applicant requests entry of the correction shown in red ink on the attached copy of Figure 14. The correction corrects a draftsman's error in the leader line associated with a label "MASKED SYMBOL." The correction is supported by parallel references in the same figure and at page 8, line 14, to page 10, line 2, and elsewhere in the specification. The revision is shown in red ink in accordance with MPEP § 608.02(v), and adds no new matter to the application.

In the event that the Examiner or the draftsperson has any concerns, Applicant request a call to Applicant's attorney Matthew J. Marquardt at (212) 944-1515.

Respectfully submitted,

Dated: 15 February 2001

Matthew J. Marquardt Reg. No. 40,997

Attorney for Applicants

BROWN RAYSMAN MILLSTEIN

FELDER & STEINER LLP

120 West Forty-Fifth Street New York, New York 10036

(212) 944-1515